

May 15, 2008

PROMOTION RECOMMENDATION  
UNIVERSITY OF MICHIGAN MEDICAL SCHOOL  
DEPARTMENT OF PSYCHIATRY

Scott Yung Ho Kim, M.D., Ph.D., Assistant Professor of Psychiatry, Department of Psychiatry, Medical School, is recommended for promotion to Associate Professor of Psychiatry, with tenure, Department of Psychiatry, Medical School.

Academic Degrees:

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| M.D.  | 1994 | Harvard University    |
| Ph.D. | 1993 | University of Chicago |
| B.A.  | 1985 | Wheaton College       |

Professional Record:

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| 2004--Present | Assistant Professor of Psychiatry, University of Michigan  |
| 2001--2004    | Assistant Professor of Psychiatry, University of Rochester<br>Assistant Professor of Medical Humanities, University of Rochester |

Teaching: Dr. Kim supervises cases by residents, students, and social workers. Dr. Kim also spends a portion of his time as the Assistant Director of the Research Track Residency Program, a program funded through an NIMH R25 grant and 4% on an NIH sponsored research ethics curriculum development grant (as faculty). He provides periodic bioethics lectures to undergraduates, medical students, residents and fellows, and in continuing medical education forums, both regional and national. He has mentored several post-doctoral level trainees who are now on faculty at major universities.

Research: Since joining the faculty as an Assistant Professor, Dr. Kim has continued to build a thematically integrated research program, as the principal investigator of an NIH RO1 focusing on decision-making capacity of persons with Alzheimer's disease, as the principal investigator of an ethics subproject on the ethics of gene transfer research as part of a large NIH funded U54 cooperative agreement, and as a Greenwall Faculty Scholar in Bioethics (three are chosen each year nationally) with a focus on ethics of surrogate consent for research. He recently received a second RO1 for a project that uses a novel deliberative democratic consultation method to assess the views of the elderly public regarding surrogate consent for dementia research.

Dr. Kim has 24 papers published or in press (of which 16 are first authored and four are senior authored), with six manuscripts in submission or in revision. The timeliness and importance of the work is reflected in that several of the papers have been accompanied by editorials, one paper was selected for an annual review volume, and one paper won the 2006 Dorfman Award for Best Article for Original Research, Academy of Psychosomatic Medicine.

Dr. Kim has been recognized for his outstanding work in the area of bioethics by receiving the prestigious Greenwall Faculty Scholars in Bioethics Award for 2006 by the Greenwall Foundation of New York. The award, which is given each year to three university faculty members from around the United States, seeks to help develop the careers of bioethicists

whose work will have an impact on public policy or clinical practice. It provides funding to help the scholar carry out original research that will help resolve important policy and clinical dilemmas at the intersection of ethics and the life sciences.

Dr. Kim's work is also supported by National Institutes of Health grants, focusing on such issues as informed consent, surrogate consent, assessment of decision-making capacity, ethics of high risk research, and policies regarding people whose decision-making capacity is impaired. His research may help guide policymakers as they struggle to create a national standard for how and when surrogates can decide to consent for research studies on behalf of adults who have lost their ability to give informed consent. Currently, federal law allows surrogates, but lets states set the conditions for such decisions, and there is wide variation among states.

#### Recent and Significant Publications:

Kim SYH, Appelbaum PS, Swan J, Stroup TS, McEvoy JP, Goff DC, Jeste DV, Lamberti JS, Leibovici A, and Caine ED: Determining When Impairment Constitutes Incapacity for Informed Consent in Schizophrenia Research. *British Journal of Psychiatry* 191(1):38-43, 2007.

Kim SYH, Holloway R, Frank S, Beck CA, Zimmerman C, Wilson R, Kiebertz K: Volunteering for early phase gene transfer research in Parkinson's disease. *Neurology* 66:1010-1015, 2006.

Kim SYH, Kim HM, McCallum C, Tariot PN: What do people at risk for Alzheimer's disease think about surrogate consent for research? *Neurology* 65:1395-1401, 2005.

Kim SYH, Holloway RG. Burdens and benefits of placebos in antidepressant clinical trials: A decision and cost-effectiveness analysis. *Am J Psychiatry* 160: 1272-1276, 2003.

Kim SYH, Caine ED, Cox C: Impaired decision-making ability in subjects with Alzheimer's disease and willingness to participate in research. *Am J Psychiatry* 159: 797-802, 2002.

Service: Dr. Kim is a national expert on clinical, ethical, and legal aspects of assessment of decision-making capacity, on the ethics of surrogate consent for research, and on informed consent for high risk research studies. Although his empirical work is mostly on research ethics issues, he continues to work in clinical ethics issues as well, and he is currently writing a book under contract with Oxford University Press on the Evaluation of Capacity to Consent to Treatment.

For the NIH, Dr. Kim has been a frequent study section reviewer. He also reviews grants for other organizations, both local and international. In 2002, he co-chaired for the NIMH a workshop on proxy consent for research involving decisionally impaired elderly. He has served on national scientific advisory and ethics committees for large, multi-site clinical trials sponsored by the NIMH, and currently serves on the Data and Safety Monitoring Board for high profile clinical trials sponsored by the NIMH.

Dr. Kim serves on the editorial boards of two bioethics journals. For the American Psychiatric Association, Dr. Kim has served as a consultant to its Research Ethics Task Force, its National Ethics Committee, and currently serves as the vice chair of the task force charged with re-writing the Society's professional ethics code. In addition, he has been on two Presidential Symposia for the APA's annual meetings.

Dr. Kim played a variety of consulting roles at other national venues. For the American College of Neuropsychopharmacology (ACNP), he provided a Congressional staff briefing on the need for better national policy on surrogate consent for research. He has been a consultant to two Institute of Medicine committees (contributing a paper to one of its reports). As the federal government is once again examining potential new policies on surrogate consent for research, he recently presented to the Secretary's Advisory Committee on Human Research Protections of the Department of Health and Human Services.

#### External Review:

Reviewer A: "Dr. Kim is recognized as a leading scholar of bioethics who shows much promise for continued, important scholarship in the field. Dr. Kim's training both as a physician and a philosopher position him to do very important and methodologically sound scholarship in bioethics....Dr. Kim applies great rigor to his research using a variety of empirical methods. Not surprisingly, this has contributed to his strong publication record."

Reviewer B: "What is particularly striking to me is that Dr. Kim has been so effective in using empirical methods to address some of the core questions in the ethics of clinical research. Without such data trust in the enterprise is at severe risk....Dr. Kim is one of the several leading psychiatrists of his generation who is doing empirical work on research ethics."

Reviewer C: "His scholarly work is innovative and independent and has already had major impact on the field....Dr. Kim is one of the top researchers of his cohort in bioethics scholars. His creative work is sustained, significant, and innovative."

Reviewer D: "He is a true role model for his peers and for future physicians....[Dr.] Kim possesses a broad multidisciplinary skill set—combining clinical psychiatry, philosophical expertise, and empirical research skills—necessary for evidence-based inquiry in these areas. This makes Dr. Kim a very rare resource in our country. Dr. Kim's work is frequently cited at national meetings, and frankly many of us in the field wait expectantly for his next publication(s)...the scholarly questions he is posing and answering in the field are sophisticated and valuable, and he is seen as one of the handful of important intellectual contributors in these controversial areas – areas that are crucial to the ethical basis of clinical care and research now and in the future."

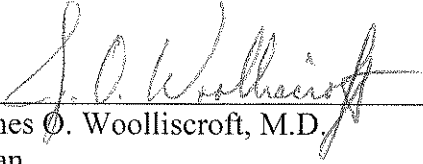
Reviewer E: "Scott, however, stands with the very best in this field. Indeed, I think he is the finest empirical bioethicist of his generation....His research has made him a leading voice in the study of the competency to consent."

Professional Work: Dr. Kim spends one morning a week in clinical care, staffing and supervising cases by residents, students and social workers. He provides ongoing supervision of a resident caseload in a weekly meeting.

#### Summary of Recommendation:

Dr. Kim is a rising star in his field, already held in high esteem in psychiatry, and now increasingly recognized among those involved in ethics and decision analysis. He is a creative

scholar and researcher who is well funded and the recipient of a prestigious award from the Greenwall Foundation. I enthusiastically endorse his promotion to Associate Professor, with tenure, in the Department of Psychiatry.



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James O. Woolliscroft, M.D.

Dean

*Lyle C. Roll Professor of Medicine*

May 2008